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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE  
STATEMENT**

Docket Number  
**10191/2233**

Application Number  
**To Be Assigned**

Filing Date  
**Herewith**

Examiner  
**To Be Assigned**

Art Unit  
**To Be Assigned**

Invention Title  
**PIEZOCERAMIC ACTUATOR AND METHOD  
FOR ITS MANUFACTURE**

Inventor(s)  
**Lothar HENNEKEN et al.**

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the references listed on the attached modified PTO form 1449 to the attention of the Examiner. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
2. A copy of each patent, publication or other information listed on the modified PTO form 1449 is enclosed, except as otherwise indicated.

Dated: 2/6/02

By: for Richard L. Mayer (Reg. No. 22,490)  
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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANTS<br/>PTO FORM 1449</b> | Atty. Docket No.<br>10191/2233         | Serial No.<br>To Be Assigned |
|   | Applicant(s)<br>Lothar HENNEKEN et al. |                              |
|   | Filing Date<br>Herewith                | Group<br>To Be Assigned      |

U. S. PATENT DOCUMENTS

| EXAMINER'S INITIALS | PATENT NUMBER | PATENT DATE      | NAME         | CLASS | SUBCLASS | FILING DATE |
|---------------------|---------------|------------------|--------------|-------|----------|-------------|
|                     | 5,459,371*    | October 17, 1995 | Yasuo et al. |       |          |             |
|                     |               |                  |              |       |          |             |
|                     |               |                  |              |       |          |             |
|                     |               |                  |              |       |          |             |

\*Copy of reference is not enclosed because reference is cited in Search Report (copy of reference provided by International Searching Authority).

FOREIGN PATENT DOCUMENTS

| EXAMINER'S INITIALS | DOCUMENT NUMBER | DATE             | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |    |
|---------------------|-----------------|------------------|---------|-------|-----------|-------------|----|
|                     |                 |                  |         |       |           | YES         | NO |
|                     | 42 24 284       | January 28, 1993 | Germany |       |           | X*          |    |
|                     | 197 53 930      | June 10, 1999    | Germany |       |           | X*          |    |
|                     | 60 196981       | October 5, 1985  | Japan   |       |           | X*          |    |
|                     | 61 234580       | October 18, 1986 | Japan   |       |           | X*          |    |
|                     | 196 48 545      | May 5, 1998      | Germany |       |           |             | ** |
|                     |                 |                  |         |       |           |             |    |

\*Copy of reference is not enclosed because reference is cited in Search Report (copy of reference provided by International Searching Authority).

OTHER DOCUMENTS

| EXAMINER'S INITIALS | AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC. |
|---------------------|--|
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\*Copy of reference is not enclosed because reference is cited in Search Report (copy of reference provided by International Searching Authority).

\*\*Described in the Specification.

|   |                 |
|---|-----------------|
| EXAMINER  | DATE CONSIDERED |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |